Attorney's Docket No.: 07977-279001 / US5023/5025

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shunpei Yamazaki, et al.

Art Unit: 1722

Serial No.: 09/892,225

Examiner: Mathew J. Song

Filed : Ju

: June 25, 2001

Conf. No.: 1969

Title

: SEMICONDUCTOR DEVICE AND FABRICATION METHOD THEREFOR

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance. The fee in the amount of \$180 in payment of the late submission fee of §1.17(p) for the Information Disclosure Statement is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted

Date:

7/10/06

Roberto J. Devoto Reg. No. 55/108

Fish & Richardson P.C.

Citigroup Center

52nd Floor

153 East 53rd Street

New York, New York 10022-4611

Telephone: (212) 765-5070 Facsimile: (212) 258-2291

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Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 07977-279001

Application No. 09/892,225

## **Information Disclosure Statement** by Applicant

(Use several sheets if necessary)

Applicant Shunpei Yamazaki, et al.

Filing Date June 25, 2001

Group Art Unit 1722

(37 CFR §1.98(b))

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Óffice	Class	Subclass	Yes	No
	AW							
	AX							

Examiner	Signature

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 U.S. Department of Commerce (Modified) Patent and Trademark Office		Attorney's Docket No. 07977-279001	Application No. 09/892,225	
	closure Statement plicant	Applicant Shunpei Yamazaki, et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date June 25, 2001	Group Art Unit 1722	

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Translation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AY							
	AZ							
	AAA	•••						

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	ABB	Haji et al., "Mode of Growth and Microstructure of Polycrystalline Silicon Obtained by Solid-Phase Crystallization of an Amorphous Silicon Film," J. Appl. Phys. 75 (8), April 15, 1994, pages 3944-3952.
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	ADD	
	AEE	

Examiner Signature	Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.